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1. Your reference TJF/JY/37891

2. Patent application number 31 MAY 2002  
(The Patent) 0212775.1

3. Full name, address and postcode of the or of each applicant (*underline all surnames*)  
  
WESTWIND AIR BEARINGS LTD  
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HOLTON HEATH  
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DORSET BH16 6LN  
  
Patents ADP number (*if you know it*)  
  
If the applicant is a corporate body, give the country/state of its incorporation  
  
6348536001  
UNITED KINGDOM

4. Title of the invention MACHINING APPARATUS AND METHODS

5. Name of your agent (*if you have one*)  
  
"Address for service" in the United Kingdom to which all correspondence should be sent (*including the postcode*)  
  
FJ CLEVELAND  
40-43 CHANCERY LANE  
LONDON  
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05JUN02 E723247-1 D00022  
P01/7700 0.00-0212775.1

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7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application	Date of filing ( <i>day / month / year</i> )
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8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (*Answer 'Yes' if:*)  
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## Machining Apparatus and Methods

This invention relates to machining apparatus and methods and particularly to apparatus and methods for use in grinding and/or cutting workpieces, for  
5 example semiconductor wafers.

There are a large number of different circumstances where it is necessary to machine workpieces. The apparatus and methods described in the present application are applicable for use in a broad range of such circumstances.  
10 However, the apparatus and methods of the present application are particularly useful in dicing workpieces such as semiconductor wafers and arrays of chip scale packages for supporting completed chips. Some of the introduction and specific description in this specification will be directed to such dicing apparatus and methods. It, of course, should be borne in mind that the  
15 apparatus and methods in general and the described construction of the machining spindle are also appropriate for use in a large number of other machining operations.

In the production of semiconductor chips it is commonplace to start with a  
20 semiconductor wafer which is then appropriately processed to provide the necessary circuits for a plurality of chips arranged in an array on the wafer. So

called "streets" are left between the circuits for each chip. These streets contain no circuitry and are arranged such that there is a first set of parallel streets running in a first direction and a second set of parallel streets running perpendicularly to the first set. These streets provide a region which can be cut  
5 to allow the wafer to be cut up or "diced" into the individual chips.

The resulting chips are often mounted in chip scale package elements so that they can be more easily handled and inserted into the appropriate circuit boards for their intended use. Chip scale package elements are also often made by  
10 dicing a sheet containing an array of package elements. The methods and apparatus of the present application may also be used in dicing such sheets of chip scale package elements. Moreover, in at least some circumstances, one machine may be used for the two dicing operations.

15 When dicing wafers it is often the case that the chips have an oblong shape rather than a square shape. This, of course, means that the streets running in one direction are spaced by a different distance than the streets running in the other direction. Any machine used to dice the wafer must be able to cope with  
this difference in spacing.

20

In the simplest dicing machines a single cutting tool is used and this travels

back and forth across the wafer cutting one street at a time in a first direction.

Once all of the streets in a first direction have been cut the workpiece is typically rotated through  $90^\circ$  so that the cutting tool can be used to cut all of the streets in the second direction.

5

However, in an effort to improve efficiency, systems have been devised where a plurality of cutting tools are used such that the wafer may be cut along a plurality of streets simultaneously.

- 10 In existing systems where a plurality of streets can be cut simultaneously there is typically at least one of the following two problems.

In a first set of existing systems a multiple cutting head is provided which is driven by one shaft. In such systems the spacing between each cutting tool  
15 cannot be altered without replacing the cutting head as a whole. This renders impractical, or at least significantly slows down, an operation where a wafer needs to be diced into oblong shapes.

In a second set of existing systems two or more separate cutting tools are  
20 provided each of which is separately driven by its own shaft and motor etc. Such a system can be made to cope with dicing oblong chips but there is a

disadvantage in that two complete sets of supporting and driving apparatus are required and there can be difficulties in maintaining accuracy between the two cutting tools.

- 5 It is an object of the present invention to provide machining apparatus and methods which alleviate at least some of the problems associated with the prior art.

According to one aspect of the present invention there is provided a machining  
10 spindle comprising a first shaft arranged for carrying a first tool for machining a workpiece and a second shaft arranged for carrying a second tool for machining the workpiece, wherein the shafts are mounted for rotation about a common axis and for axial movement relative to each other.

- 15 This arrangement allows the tools to be operated accurately relative to one another whilst avoiding the need for the use of two separate spindles and their associated equipment.

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Preferably the shafts run one inside the other so that the first shaft is an inner  
20 shaft and the second shaft is an outer shaft.

The spindle may comprise a main body within which the shafts are journalled. In currently preferred embodiments the inner shaft is mounted within the outer shaft which in turn is journalled within the main body. The inner shaft may be journalled within the outer shaft to allow relative rotation between the two  
5 shafts.

Preferably a bearing is provided to allow relative movement between the inner and outer shafts. Typically this bearing is arranged to allow relative axial movement. This bearing may also be arranged to allow relative rotation  
10 between the inner and outer shafts. The arrangement of the spindle as a whole is typically such that one of the shafts may be arranged to move axially relative to the main body.

Air bearings may be provided to support the shafts. The main body may  
15 comprise jets to provide air to a bearing allowing relative rotation between the main body and the outer shaft. The inner shaft may comprise jets to provide air to the bearing allowing relative movement between the inner and outer shafts.

The air bearings may be arranged such that air is purged from the spindle at  
20 positive pressure (relative to the ambient pressure) at all locations which may be exposed to the by-products of machining operations. This can help to ensure



that dust, swarf etc does not enter the bearings.

In an alternative to this or in addition to this, supplementary sealing means may be provided. Such a seal may be a labyrinthine seal.

5

"Air bearings" per se, where a gas provides support and lubrication, are well known. Whilst the gas will normally be air, as is conventional, the expression "air bearing" in this specification also covers bearings using or designed to use other gases in place of, or in addition to air.

10

The spindle may comprise at least one electric motor for rotatingly driving the shafts.

15

In one set of embodiments the spindle is arranged to allow the first shaft to rotate at a different speed from and/or in a opposite direction from the second shaft. The spindle may comprise two electric motors, a respective one of the motors for rotatingly driving each shaft.

20

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In another set of embodiments the spindle is arranged so that the first and second shafts rotate in synchrony with one another. In such embodiments drive transfer means may be provided for transferring drive from one shaft to the

other. The drive transfer means may comprise a pin mounted on one of the shafts and disposed in a recess in the other of the shafts such that shafts may move axially relative to one another without interrupting the transfer of drive.

- 5 The spindle may comprise axial drive means for axially driving the shafts relative to one another. The axial drive means may be arranged to act on one of the shafts via an axial bearing assembly. The axial bearing assembly may be arranged for axial movement within an air bearing provided in the main body. A portion at an end of one of the shafts may be captured in the axial bearing  
10 assembly.

- Encoding scale means may be provided to indicate the axial position of the shaft which is moveable axially relative to the main body. The encoding scale means may, for example, be provided on the axial bearing assembly or the  
15 axial drive means.

The machining spindle may be a cutting and/or grinding spindle arranged for supporting cutting and/or grinding tools.

- 20 The machining spindle may be a dicing spindle, the shafts each being arranged for supporting a respective cutting wheel. The workpiece in such a case may,

for example, be a semiconductor wafer or a sheet comprising an array of chip scale package elements.

Where two cutting wheels are used they may be different from one another in diameter and/or other properties. The cutting wheels may be used in a two stage cutting process. One of the cutting wheels may be a V-cutter for use in making a first cut.

The grinding tools may be cup grinders for grinding a surface by axially moving the tool into contact with the workpiece. The grinding tools may be radial grinders. The radial grinders may be arranged for use in form grinding a complex shape. The grinding tools may be arranged so that the first tool can grind the internal surface of a bore whereas the second tool can grind the external surface of the component having the bore.

15

According to another aspect of the invention there is provided a machining apparatus comprising a machining spindle as defined in any of the aspects above and a support arrangement for supporting the spindle.

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20 The machining apparatus may further comprise a workpiece table arranged for supporting a workpiece during machining.

The apparatus may further comprise a first tool mounted on the first shaft and a second tool mounted on the second shaft. The first and second tools may, for example, be cutting wheels, grinding tools etc as explained above.

- 5 According to a further aspect of the invention there is provided a method of machining a workpiece comprising the step of using a machining spindle or a machining apparatus as defined in any one of the aspects above.

In one particular application of a machining spindle or apparatus, the ability to  
10 move one shaft axially relative to the other may be used to compensate for thermal growth, or more particularly differences of thermal growth, in the shaft or other components as they heat up due to operation. In such a method the spacing between the carried tools, for example cutting wheels, may be monitored and the shafts moved relative to one another in an attempt to keep  
15 the spacing constant.

The present invention will now be described by way of example only with reference to the accompanying drawings in which:-

- 20 Figure 1 is a schematic end view of a machining apparatus;  
Figure 2 is a side view of the machining apparatus of Figure 1;

Figure 3 is a sectional view on line III - III of the machining spindle of the apparatus shown in Figure 1, and

Figure 4 is an alternative machining spindle which may be used in the  
5 machining apparatus shown in Figure 1.

Figures 1 and 2 show a machining apparatus comprising a machining spindle 1 supported by a spindle support carriage 2 which is arranged for supporting the machining spindle 1 and allowing translational movement of the spindle in  
10 three perpendicular directions one of which is parallel to the axis of the spindle 1. The apparatus also includes a workpiece table 3 upon which a workpiece 4 may be supported for machining. The workpiece table 3 is arranged so as to be rotatable about an axis perpendicular to the surface on which the workpiece is supported, i.e. an axis in the plane of the page as shown in Figures 1 and 2.  
15 The machining spindle 1 carries a pair of cutting wheels 5a, 5b which are spaced from one another in a direction parallel to the axis of the spindle 1.

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In operation, these cutting wheels 5a, 5b may be brought into contact with the workpiece 4 by moving the machining spindle 1 on its carriage 2 in the  
20 appropriate direction (the vertical direction in Figures 1 and 2). The cutting wheels 5, 5b may then be drawn across the workpiece 4 in order to create cut

or score lines across the workpiece 4 in a first direction. This process may be repeated as many times as is desired across the workpiece 4 so as to cut the workpiece into strips. The workpiece table 3 may then be rotated about the axis defined above such that its orientation is changed by  $90^\circ$ . This rotation will of course occur with the cutting wheels 5a, 5b out of contact with the workpiece 4. Once the workpiece table 3 and workpiece 4 have been rotated the workpiece 4 can be cut in a perpendicular direction to the first cuts, again using the cutting wheels 5a, 5b. It will be appreciated that this process serves to dice the workpiece 4.

10

In one particular application, the workpiece 4 may be a semiconductor wafer and the cutting wheels 5a, 5b may be used to cut along the streets in the wafer so as to dice the wafer into the appropriate chips.

15 As will be explained in more detail below, the spacing between the spaced cutting wheels 5a, 5b may be changed by virtue of the construction of the machining spindle 1. The ability to change the spacing between the cutting wheels 5a, 5b may be used in a number of different ways. Perhaps most typically this ability to change the spacing between the cutting wheels 5a, 5b  
20 can be used to dice a workpiece into oblong elements, for example, oblong chips. In such a case the cutting wheels 5a, 5b will be used to cut the

workpiece in a first direction whilst they are spaced with a first spacing and then the spacing between the cutting wheels 5a, 5b will be changed before cutting in the second direction so that the second set of cuts have a different spacing.

5

It should be noted that there is no need for the cutting wheels 5a, 5b to cut adjacent streets or other cut lines in a single traverse of the workpiece 4.

Especially in the case of semiconductor dicing it may be more common that, say, the first and third or the first and fourth streets are cut with a first pass

10 and then the second and fourth or second and fifth are cut with a second pass and so on. The reason for choosing such a cutting technique is simply that there will be a physical limit on how small the spacing can be made between the cutting wheels 5a, 5b.

15 Figure 3 shows the machining spindle 1 of the apparatus shown in Figures 1 and 2. Firstly it should be noted that as shown in Figure 1, the main body 100 of the machining spindle has a non-circular shape. In particular, there are cutaways from the circular shape at the region of the spindle 1 where it comes  
closest to the workpiece table 3. This configuration is to allow the spindle 1 to  
20 pass over the top of a supported workpiece 4 without unnecessarily increasing the diameter of the cutting wheels 5a, 5b or otherwise compromising the

performance of the apparatus. It will be noted that the section of the spindle 1 shown in Figure 3 is taken along a line where the spindle 1 has its full diameter. The minimum radial dimension of the spindle 1, due to the cutouts, is indicated by the dotted line C shown in Figure 3.

5

The main body 100 of the spindle 1 houses an inner shaft 110a, at the distal end of which is provided a hub 111a for carrying the first cutting wheel 5a (not shown in Figure 3). This inner shaft 110a is journaled for rotation about the central axis of the machining spindle 1 inside an outer shaft 110b. The outer  
10 shaft 110b carries a hub 111b at its distal end for supporting the second cutting wheel 5b (not shown in Figure 3). The outer shaft 110b is journaled for rotation about the central axis of the machining spindle 1 inside the main body 100. Thus, the outer shaft 110b is generally a hollow cylinder within which the inner shaft 110a is disposed.

15

The inner shaft 110a has an extension portion 112a which extends through the proximal end of the outer shaft 110b. The rotor 120 of an electric motor for driving the shafts 110a, 110b is mounted on a collar 112b of the outer shaft 110b which surrounds this extension portion 112a. The stator of the electric  
20 motor 121 is mounted in the main body 100.



The extension portion 112a of the inner shaft 110a terminates in a disc like portion 113a which is captured in a moving axial bearing assembly 130.

Whilst the disc like portion 113a is captured in the moving axial bearing  
5 assembly 130, air bearings exist around the disc like portion 113a such that rotation of the disc portion 113a and hence the inner shaft 110a as a whole is not prevented or substantially hindered.

On the other hand, the moving axial bearing assembly 130 is arranged for axial  
10 movement within the main body 100 and as this axial movement occurs, a corresponding axial movement of the inner shaft 110a is caused to occur due to the disc like portion 113a being captured in the moving axial bearing assembly 130.

15 An axial driving means 131 is provided for driving the axial bearing assembly 130 in the axial direction. The axial driving means 131 is arranged to be able to drive the axial bearing assembly, and hence the inner shaft 110a in both  

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directions along the axis of the spindle 1.

20 In contrast, the outer shaft 110b is held against axial movement relative to the main body 100 by an axial bearing plate 101 provided at the distal end of the

main body 100. Thus, by operating the axial driving means 131 to move the axial bearing assembly 130 and hence the inner shaft 110a, the spacing between the hubs 111a and 111b and hence the cutting wheels 5a and 5b can be altered.

5

The above description covers the main features which are directed at the principle of operation of the machining spindle 1. Figure 3 shows more detail of one practical implementation of such a system and some of these details as well as others will be described below.

10

The electric motor comprising the rotor 120 and stator 121 can be used to rotatingly drive the outer shaft 110b and furthermore control means (not shown) can be used to sense and control the speed of rotation. This is particularly important when the cutting wheels 5a, 5b are brought into contact with the workpiece 4.

15

Although not shown, drive transfer means is provided between the outer shaft 110b and the inner shaft 110a so that the motor 120, 121 can also drive the inner shaft 110a. This drive transfer arrangement needs to transfer the drive as the relative axial positions of the first and second shafts 110a and 110b are changed. One appropriate drive transfer means comprises a pin mounted on one

20

of the shafts 110a, 110b and disposed in a recess in the other shaft 110a, 110b such that the rotating drive is transferred but axial movement is not opposed. In a preferred form the pin may be parallel to, but spaced from, the axis of rotation and arranged to run in an appropriate bore in the respective other shaft.

- 5 If it is desired, a plurality of such pins might be provided. In another alternative, radial pins or splines might be used.

The outer shaft 110b is supported for rotation in a spaced pair of air bearings 102a and 102b provided in the main body 100. The main body 100 comprises  
10 internal drillings for supplying air to these air bearings 102a, 102b and exhausting spent air from the air bearings.

A seal bearing 103 is provided between the two supporting bearings 102a, 102b. This sealing bearing 103 is provided to seal, as effectively as is possible,  
15 an air passage 104 which runs from the main body 100 through the outer shaft 110b and into the centre of the inner shaft 110a. A seal bearing 103a is also provided on the inner shaft 110a about the point where the air passage 104

---

meets the inner shaft 110a. It will be appreciated that whilst an air passage 104  
has been described, in actual fact there will be a plurality of drillings through  
20 both the outer shaft 110b and the inner shaft 110a to provide suitable paths for air as the two shafts are rotating.

The inner shaft 110a is a jetted shaft and internal drillings 114a leading to jets 115a to the external surface of this shaft 110a are provided to feed air to the gaps between the shafts 110a, 110b such that the inner shaft 110a runs on an air bearing within the outer shaft 110b.

5

The moving axial bearing assembly 130 is supported for axial movement in an air bearing 105 provided in the main body 100.

The axial bearing assembly 130 comprises inner drillings (not shown) for feeding air from the supporting air bearing 105 to the air bearings which support the disc like portion 113a of the inner shaft 110a.

Air is purged from the spindle under positive pressure at the region P where the inner and outer shafts 110a and 110b penetrate through the main body 100. This helps to ensure that external contaminants such as swarf or the by-products of sawing do not enter the spindle 1 where they would risk fouling the air bearings.

Carbon contact brushes 106a and 106b are provided in the axial bearing assembly 130 for contacting with the disc like portion 113a at the end of the inner shaft 110a. There is a complete electrical conduction path between the

cutting wheels 5a, 5b and these brushes 106a, 106b via the metal of the shafts 110a and 110b. Thus, if leads from the carbon brushes 106a and 106b are connected to an appropriate detector (not shown), then provided that the cutting wheels 5a and 5b are conductive and an appropriate contact is made with a  
5 (conductive) workpiece 4 a circuit will be made as the cutting wheel 5a, 5b comes into contact with the workpiece. The detector can be used to sense the making of this circuit to determine that the blades 5a, 5b have touched down in contact with the workpiece 4.

10 It is envisaged that the machining spindle will be operated at cutting speeds in the order of 40,000 to 60,000 rpm and that the axial movement desired and provided by the axial bearing assembly 130 will be in the order of 6 to 7 mm. However, these figures only represent what might be true in respect of a typical machine and the present invention is in no way restricted to such values.

15

The axial drive means 131 or the axial bearing assembly 130 is provided with an encoding scale to show the axial position of that component and hence the  
~~inner shaft 110a so that the spacing between the cutting wheels 5a, 5b can be~~

accurately determined. In one particular application of the present spindle the  
20 facility for moving the shafts 110a, 110b relative to one another in an axial direction may be used to compensate for differential thermal growth in

components of the spindle 1 during operation.

Typically, the shafts 110a, 110b will increase in length due to heating caused by high speed rotation. Whilst these changes of length and the differences in changes of length may be very small, they may still be important. The tolerances which must be met to properly dice semiconductor wafers, for example are very tight and this facility for thermal compensation can be particularly useful.

In a further application the cutting wheels 5a, 5b may be different from one another. For example, one of the wheels may be a V-cutter for scoring or making a first cut in the workpiece 4, whereas the second wheel may be chosen so as to be suitable for completing the cutting operation.

In an alternative rather than relying solely on positive air pressure purging to protect the spindle 1 against the ingress of foreign particles, a labyrinthine seal may be provided to give further protection.

Figure 4 shows an alternative spindle 1' which in many respects is similar to that shown in Figure 3 and described above. Therefore, for the sake of brevity the same reference numerals are used for corresponding portions and a detailed

description of these is omitted.

The main difference between the spindle shown in Figure 4 and that shown in Figure 3 is that an additional motor comprising a rotor 120a mounted on the inner shaft 110a and a corresponding stator 121a mounted in the main body 100 is provided in addition to a motor of the same type described above comprising a rotor 120 provided on the outer shaft 110b and a respective stator 121 mounted in the main body 100.

Thus, in the spindle 1' shown in Figure 4 there are two electric motors, one of which 120a, 121a is used to drive the inner shaft 110b and the other of which 120, 121 is used to drive the outer shaft 110b. Therefore, no drive transfer means is required between the inner and outer shafts 110a and 110b.

Moreover, the speed and direction of rotation of the shafts 110a, 110b and hence the cutting wheels 5a and 5b can be controlled independently from one another. Thus, in some instances, one of the cutting wheels 5a, 5b can be run at a different speed from the other or if it is desired, a first of the cutting wheels may be run in one direction and a second in the opposite direction.

One particular scenario where being able to run the blades in opposite

directions may be of use, is where it is desired to perform cuts in both directions as the spindle traverses the workpiece. Similarly, being able to run the shafts at different speeds may be of assistance where the two cutting wheels 5a, 5b are different from one another and require different speeds of rotation for optimum performance.

Having said this, the spindle of Figure 4 presents more production difficulties than that of Figure 3 and hence such a spindle would be more expensive to produce and may suffer from performance degradation in some respects. As can be seen in Figure 4, the inner shaft 110a in this spindle has greater length than that in the spindle shown in Figure 3 and projects a significant distance beyond the region in which it is supported by the outer shaft 110b.

Furthermore, significant mass in the form of the rotor 120a is mounted on the shaft at this extended portion. These factors will tend to make it harder to achieve smooth running with the spindle 1' shown in Figure 4 and may mean that the cutting rotational velocities, at least of the inner shaft 110a, must be reduced. Thus, for example, a rotational speed in the range of 28,000 to 40,000 rpm may be more manageable with the spindle 1' of Figure 4.

It will be noted that the shape and dimensions of the motors 120, 121, 121a, 120a provided for the outer and inner shafts 110b and 110a are different from



one another. In colloquial terms one can be termed as being short and fat whilst the other one is long and thin. These shapes have been chosen in an effort to ensure that the motors can deliver the same or a similar power for use in rotation whilst best occupying the space available and minimising any  
5 adverse effects on the spindle's performance.

Whilst the above description has been written in terms of the cutting or dicing of workpieces, and in particular semiconductor workpieces, it should again be noted that the spindles of the present application and invention are not  
10 restricted to such uses. Thus, for example a spindle which is similar to that shown in Figure 3 or Figure 4 may be used in other types of machining operations, for example, other cutting operations or grinding operations. By way of example, in the case of grinding, the shafts 110a and 110b may be used to support axial grinders or radial grinders. Thus, for example, the shafts 110a,  
15 110b may be used to support cup grinders for axial grinding of a surface. By the same token radial grinders of perhaps different diameter may be used in form grinding of complex shapes. As a further example, one of the shafts may  
~~be used to carry a grinder for use in grinding the internal surface of a bore~~  
whereas the other may be used to carry a grinder for grinding an external  
20 surface of the component including the bore.

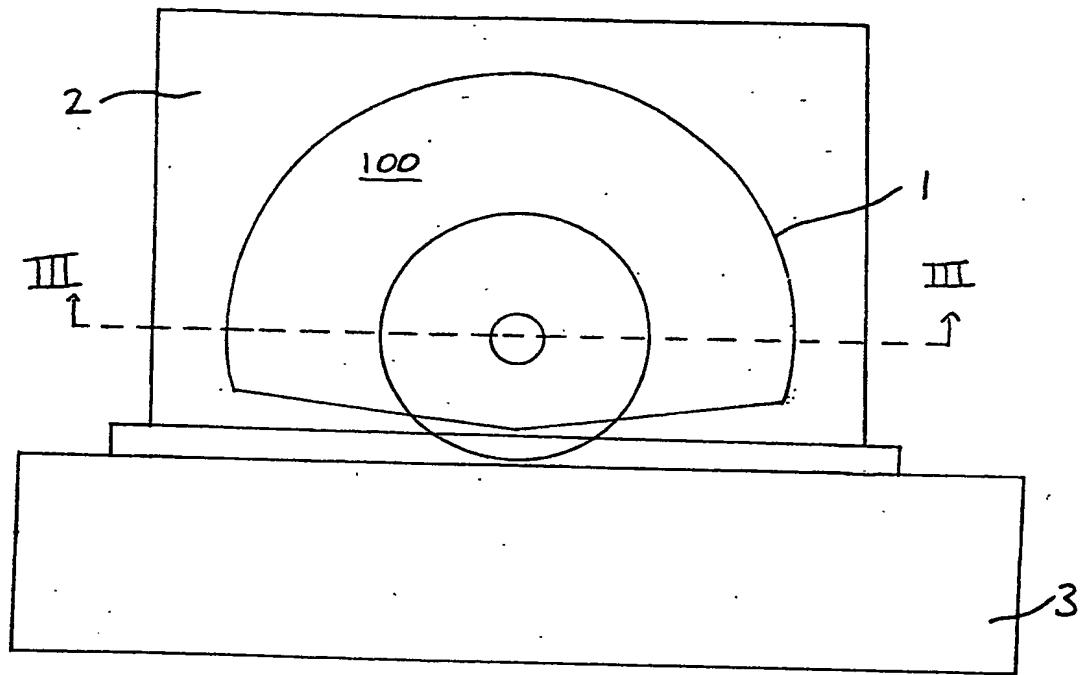


Fig 1

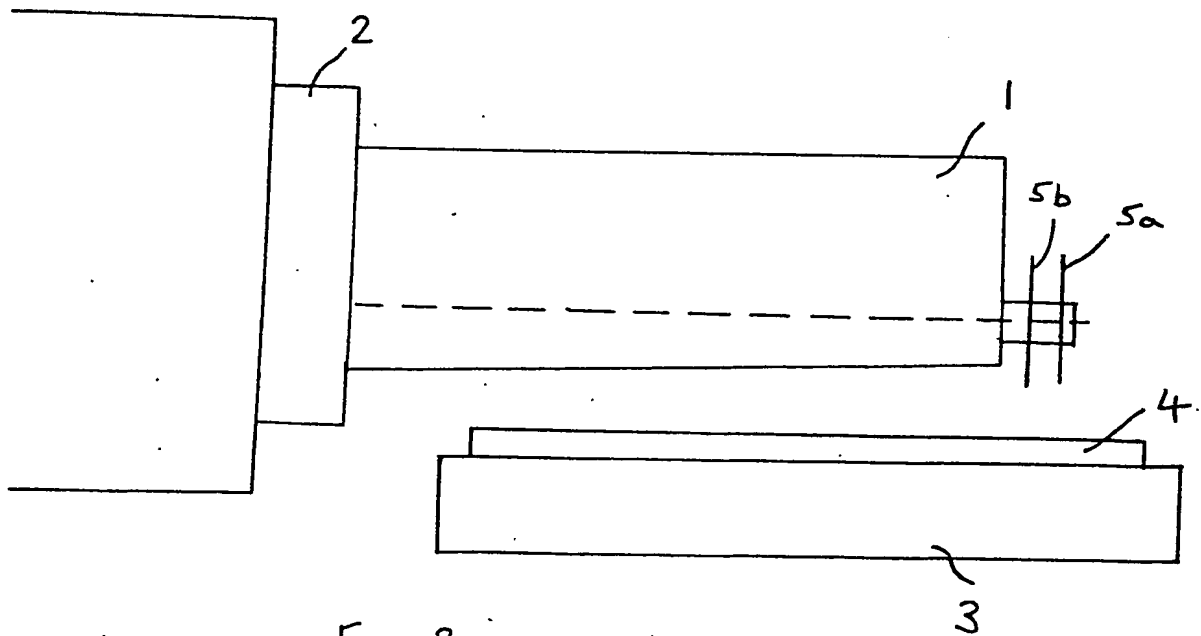


Fig 2

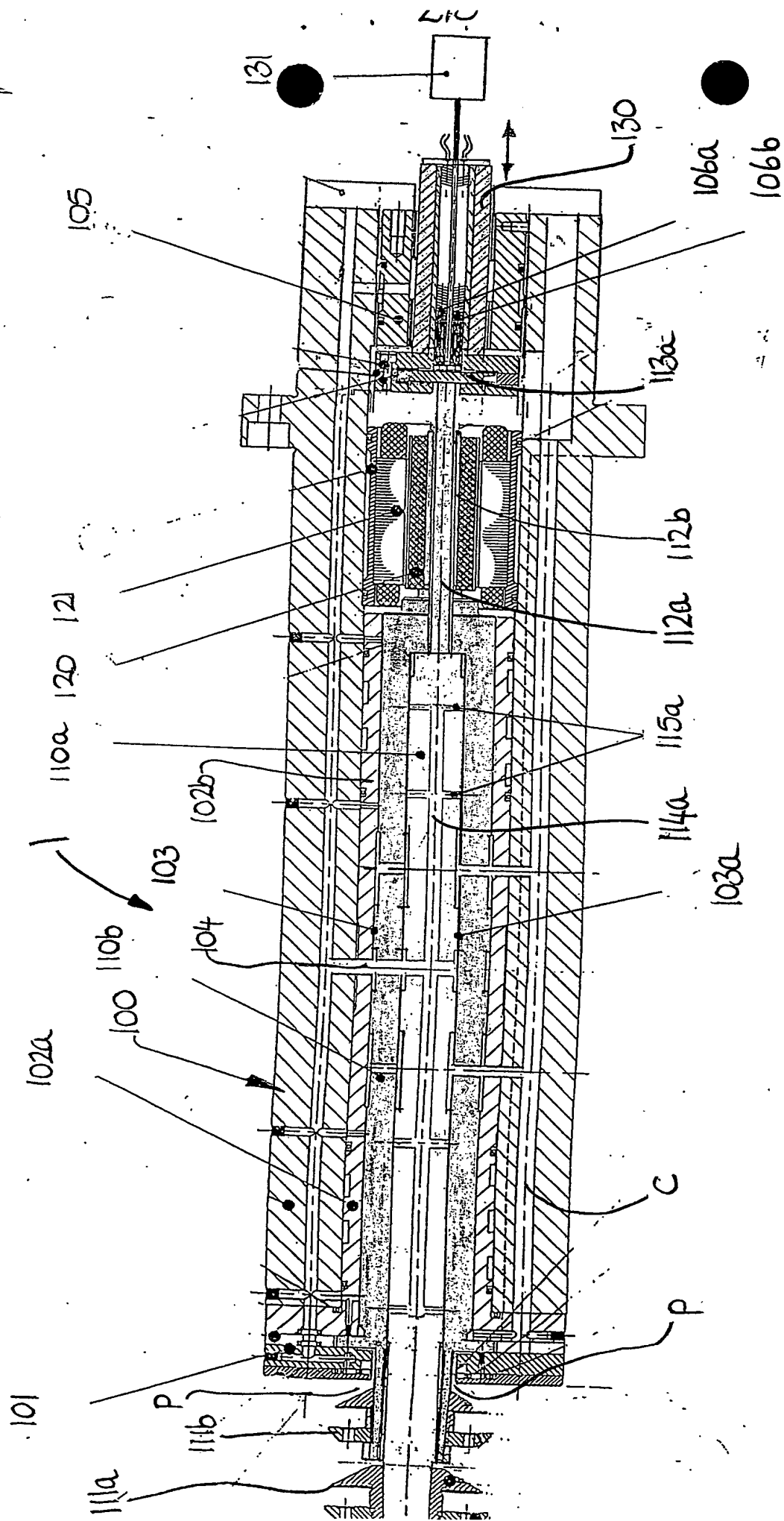
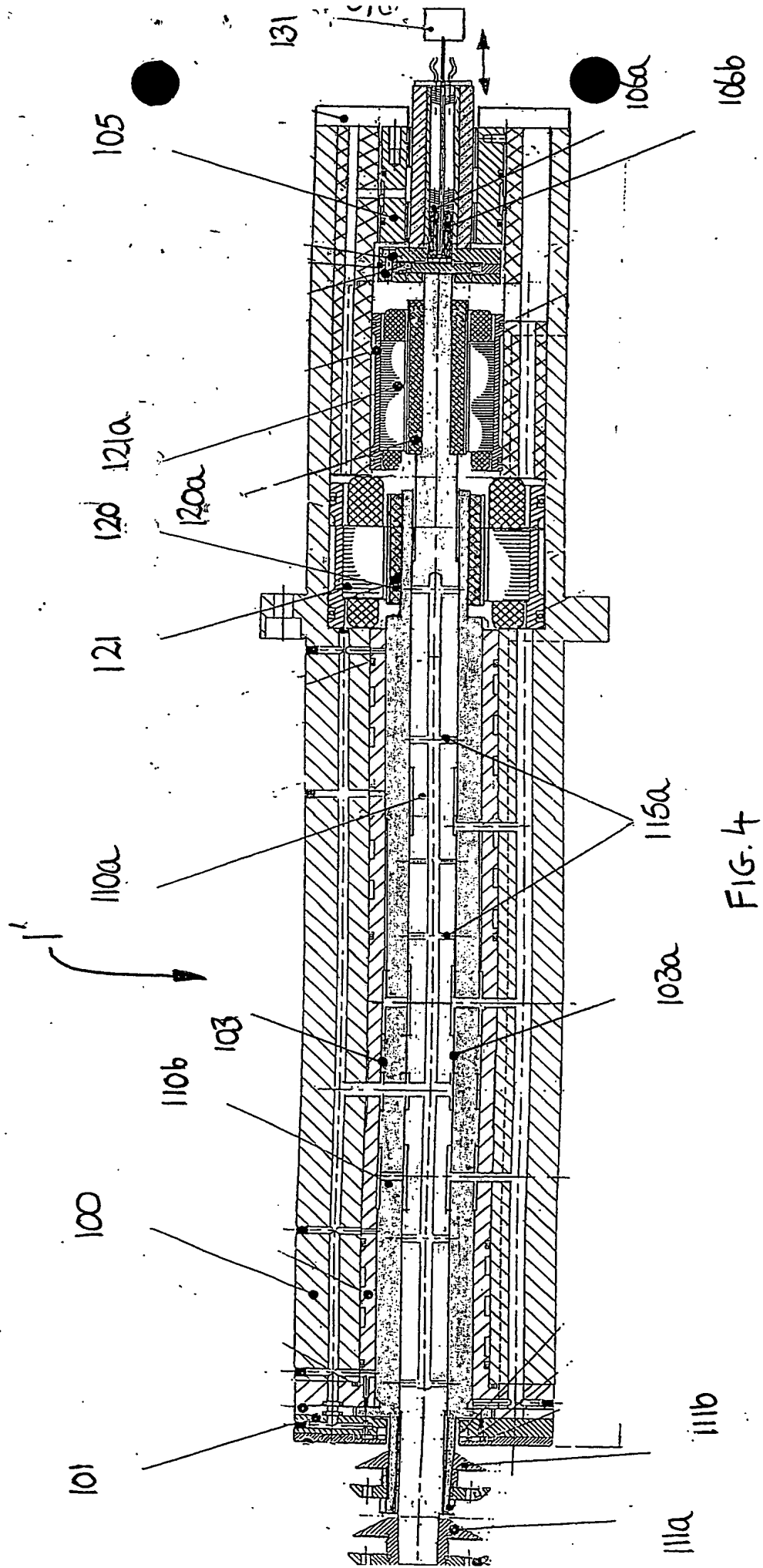


FIG. 3



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